

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Erik J. Zimmer; Scott D. Wilson; Ian R. Redmond; Bernard W. Bell;  
Daniel G. Bergeson

Assignee: DPHI Acquisitions, Inc.

Title: Objective Lens Alignment in Optical Pickup Unit Assembly

Serial No.: 09/846,052

Filing Date: May 1, 2001

Examiner: Hindi, Nabil Z

Group Art Unit: 2655

Docket No.: M-11478 US

Confirmation No.: 4501

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Alexandria, VA 22313-1450

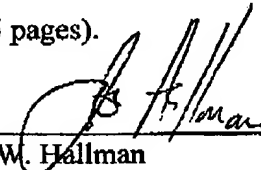
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**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that the following documents are being facsimile transmitted to the  
U.S. Patent and Trademark Office on the date shown below:

- 1) Transmittal Letter (1 page);
- 2) Petition for Extension of Time (1 page);
- 2) Claim of Small Entity Status (1 page); and
- 3) Response to Non-Final Office Action (5 pages).

Dated: August 13, 2004

  
Jonathan W. Hallman  
Registration No. 42,622

Number of pages (including this sheet): 9

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August 13, 2004

**Via Facsimile to (703) 872-9306**

Mail Stop AMENDMENT  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

Re: Applicants: Erik J. Zimmer; Scott D. Wilson; Ian R. Redmond; Bernard W. Bell; Daniel G. Bergeson  
 Assignee: DPHI Acquisitions, Inc.  
 Title: Objective Lens Alignment in Optical Pickup Unit Assembly  
 Application No.: 09/846,052 Filing Date: May 1, 2001  
 Examiner: Nabil Z. Hindi Group Art Unit: 2655  
 Docket No.: M-11478 US Confirmation No.: 4501

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Certification of Facsimile Transmission (1 page);
- (2) This Transmittal Letter (1 page);
- (3) Petition for Extension of Time (1 page);
- (4) Claim of Small Entity Status (1 page); and
- (5) Response to Office Action (5 pages).

- ☐ No additional fee is required.  
☒ The fee has been calculated as shown below:

**CLAIMS AS AMENDED**

	<u>Claims Remaining After Amendment</u>		<u>Highest No. Previously Paid For</u>		<u>Present Extra</u>	<u>Rate</u>	<u>Additional Fee</u>
Total Claims	8	Minus	20	=	0	x \$ 9.00	\$ - 0 -
Independent Claims	2	Minus	3	=	0	x \$43.00	\$ - 0 -
<input type="checkbox"/>	Fee of \$145.00 for the first filing of one or more multiple dependent claims per application						\$
<input checked="" type="checkbox"/>	Petition for Extension of Time (1 month)						\$ 55.00
	<u>Total additional fee for this Amendment:</u>						\$
<input checked="" type="checkbox"/>	Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed document(s) after all papers filed with this transmittal have been considered, an extension of time is hereby requested.						
<input checked="" type="checkbox"/>	Please charge our Deposit Account No. 50-2257 in the amount of						\$ 55.00
<input checked="" type="checkbox"/>	Also, charge any additional fees required and credit any overpayment to our Deposit Account No. 50-2257						
	Total:						\$ 55.00

**Certification of Facsimile Transmission**

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 transmitted to the U.S. Patent and Trademark Office on the  
 date shown below.

*Linda Bolter*  
 Linda Bolter

August 13, 2004  
 Date of Signature

Respectfully submitted,

*Jonathan W. Hallman*  
 Jonathan W. Hallman  
 Attorney for Applicants  
 Reg. No. 42,622

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Applicants: Erik J. Zimmer; Scott D. Wilson; Ian R. Redmond; Bernard W. Bell;  
Daniel G. Bergeson  
Assignee: DPHI Acquisitions, Inc.  
Title: Objective Lens Alignment in Optical Pickup Unit Assembly  
Serial No.: 09/846,052 Filing Date: May 1, 2001  
Examiner: Hindi, Nabil Z Group Art Unit: 2655  
Docket No.: M-11478 US Confirmation No.: 4501

Irvine, California  
August 13, 2004Via Facsimile to (703) 872-9306

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P.O. Box 1450  
Alexandria, VA 22313-1450

CLAIM OF SMALL ENTITY STATUS

Dear Sir:

Applicants hereby claim the benefit of small entity status for the above-identified patent application.

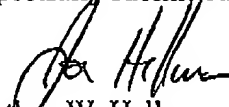
If there are any questions regarding any aspect of the application, please contact the undersigned at (949) 752-7040.

## Certification of Facsimile Transmission

I hereby certify that this paper is being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

  
Linda BolterAugust 13, 2004  
Date of Signature

Respectfully submitted,

  
Jonathan W. Hallman  
Attorney for Applicant  
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August 13, 2004Via Facsimile to (703) 872-9306

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RESPONSE TO OFFICE ACTION

Dear Sir:

In response to the Office Action dated April 16, 2004, please amend the above-identified application as follows.

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& HEID LLP

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